

AXIOM SHARE BROKING PRIVATE LIMITED
POLICY FOR REDRESSAL OF INVESTOR GRIEVANCE
(Applicable for all exchanges/segment)
Version 3.0

1. Background

Axiom Share Broking Pvt Ltd (ASBPL) is registered with the Securities and Exchange Board of India (SEBI) as:

1. Stock Broker (SEBI Registration Number INZ000232731)
2. Depository Participant (SEBI Registration Number IN-DP-53-2015)

As a matter of good practice, the policy for redressal of investor grievances is made available for the benefit of the investors who deal with ASBPL

2. Name and Details of the person in charge of handling investor grievances

Ms Nisha Vanjari, Compliance Officer is overall incharge of handling investor grievances at ASBPL

3. Procedures relating to Investor Grievance Register

- Investor Grievance Register will be maintained at all places that deal with investor including the Head Office and all branches/ Authorized Persons office/ other offices that deal with Investor. The Register would contain
 - Date of Grievance
 - Affected Party details
 - Details of the Grievance

4. Investor Grievance Email ID

Email ID “axiomcompliance@gmail.com” has been designated as the Investor in compliance with the requirements of the SEBI circular ref. MRD/DoP/Dep/SE/Cir-22/06 dated 18-Dec-2006.

5. SEBI SCORES

All grievances in SEBI Complaints Redress System (SCORES) shall be looked into by ASBPL. Adequate steps will be taken for immediate redressal of grievances, but in any case not later than one month from the date of receipt of the complaint.

6. Disclosure on Website

Following disclosed on the website of ASBPL:

- Investor Charter for Stock Broking activities pursuant to Annexure A of SEBI Circular SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated 02-Dec-2021
- Investor Charter for DP activities pursuant to Annexure A of SEBI Circular SEBI/HO/MIRSD/DOP/OW/P/2021/37347/1 dated 15-Dec-2021
- Category wise Investor Charter for Merchant Banking activities pursuant to SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 dated 23-Nov-2021
- Investor Complaints data pursuant to Annexure B of SEBI Circular SEBI/HO/MIRSD/DOP/P/CIR/2021/676 dated 02-Dec-2021 & SEBI Circular SEBI/HO/CFD/DCR2/P/CIR/2021/0661 dated 23-Nov-2021

The above revised policy was approved by Axiom Share Broking Pvt Ltd at the Board meeting held on 01-Sep-2022. The policy will be reviewed on an annual basis.

The policy was last reviewed on 14th March , 2025 without any changes.

Axiom Share Broking Pvt Ltd
Sd/- Purav Fozdar
Director